CONDUCTING NEEDS ASSESSMENTS FOR COMMUNITY-BASED MENTAL HEALTH PROGRAMS: EVALUATION PLANNING, DATA COLLECTION & ANALYSIS IN COMPLEX ENVIRONMENTS

Dr. Sarah Suiter, Vanderbilt University Dr. Charles Brown, Centerstone Research Institute Dr. Kathryn Mathes, Centerstone Researcn Institute

## AGENDA FOR TODAY'S SESSION

#### • Introductions

- When You Know What You Don't Know: Using the Needs Assessment to Understand Community Attitudes Toward Adolescent Sexuality
- Developing Partnership Approaches to Conducting a Community Needs Assessment for Substance Abuse Programs
- Early Connection Network: Assessing Needs, Strengths, and Possibilities for an Early Childhood System of Care

• Concluding Remarks and Q&A

# SETTING THE CONTEXT: WHY NEEDS ASSESSMENTS?

- Centerstone of America
  - Clinic-based Services
  - Grant-funded Services
- Centerstone Research Institute
  - Research & Evaluation
  - Data Analytics
  - Grant Writing
- Post-Award project refinement
  - Plan grant-funded work
  - Engage stakeholders
  - Deepen understanding of community needs, strengths & interests

#### THEMES FOR TODAY'S PRESENTATIONS

- Factors that shaped individual approaches to conducting needs assessment
- Research methods employed for each needs assessment
- Implications of needs assessment process for program implementation and design

WHEN YOU KNOW WHAT YOU DON'T KNOW: USING THE NEEDS ASSESSMENT TO UNDERSTAND COMMUNITY ATTITUDES TOWARD ADOLESCENT SEXUALITY

Dr. Kathryn Mathes VP for Research & Evaluation Centerstone Research Institute Kathryn.Mathes@centerstone.org A PROBLEM IS IDENTIFIED (TN AMONG THE TOP TEN!)

Tennessee has the 9<sup>th</sup> highest teen birth rate of all states

OFFICE OF ADOLESCENT HEALTH AWARDS CENTERSTONE A 5-YEAR GRANT TO ADDRESS THE PROBLEM IN MIDDLE TENNESSEE

## Award Information:

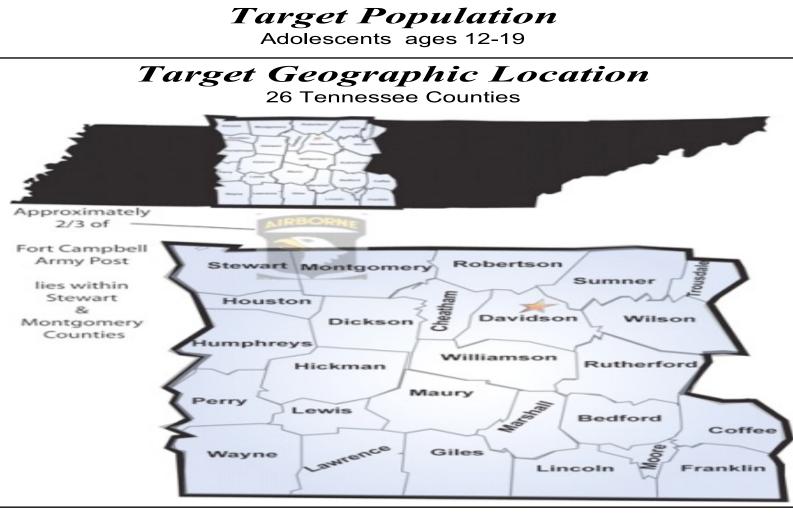
- OPHS/OAH-TPP **Tier 1** − 2010
- Length of Project 5 years
- Budget: \$150,000 per year for evaluation & dissemination

# Year 1: <u>Planning, Piloting, & Readines</u>s

- ✓ Continue to Assess Needs and Resources
- ✓ Finalize Goals & Objectives/Logic Model
- ✓Assess Program Fit
- ✓ Build Organizational Capacity
- $\checkmark$  Finalize Implementation Plans
- ✓ Pilot Program Implementation

#### Program Purpose

To implement, provide, enhance access to, and sustain engaging, comprehensive, age-appropriate, culturally sensitive, evidence-based teen pregnancy prevention services that will reduce teenage pregnancy, behavioral risks underlying teenage pregnancy, and other associated risk factors.



#### Number to be Served

Unduplicated Total of at least 13,300 adolescents over the course of 5-years: (Year 1: 300; Years 2: 2,500; Year 3: 4,000; Year 4: 4,000; Year: 5: 2,500)

FACTORS THAT SHAPED THE NEEDS ASSESSMENT

Partners:



□Pregnancy Resource Center **Care-Net Pregnancy Services** □ Hope Pregnancy Center **□**Humphreys County Department of Health **D**Pregnancy Support Center **U**Wayne County Department of Health Centerstone Community Mental Health Centers **Cumberland Crisis Pregnancy** □Planned Parenthood of Middle & East TN **D**A Woman's Place Pregnancy Center □Middle Tennessee School Systems

# NUTS & BOLTS OF THE NEEDS ASSESSMENT SECONDARY DATA SOURCES



## NUTS & BOLTS OF THE NEEDS ASSESSMENT PRIMARY DATA SOURCES

• Inventory of Current Services and Resources: Primary Data Sources



- Survey Monkey Questionnaire targeting community providers, teachers and administrators
- Telephone interviews with key stakeholders
- Comprehensive web search.

# SURVEY MONKEY

Services & Resources Commonly Available

 Health and Wellness Coordinators, Superintendents, Community Center Representatives, Planned Parenthood Directors, Teachers, Principals, etc.). Attitudes related to various teen pregnancy issues

• Seventy-seven individuals were invited, 35 people responded (45.5%).



# **Telephone Interviews**:

- Pregnancy Resource Centers, Department of Health Centers, and Birth Control Clinics, etc.
  - What adolescent pregnancy prevention services do you offer?
  - What adolescent pregnancy prevention curriculum do you implement in schools and/or in community-based youth groups
  - How do you collaborate with other counties on issues of teen pregnancy prevention?



# WEB SEARCH



#### **RESOURCES**

- Websites
- Information
- Education
- Assistance
- Pregnancy Prevention
- Family Planning
- Adolescent Parenting

#### Behavior among High School Students Statewide, 2009

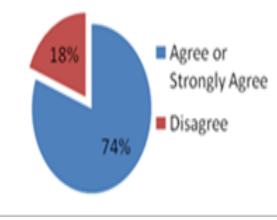
| Risk Behavior  | TN     | National<br>Benchmark | Confidence<br>Interval | N     |
|--|--------|-----------------------|------------------------|-------|
| Ever had sexual intercourse  | 53.4%  | 46%                   | (49.2–57.5)            | 2,137 |
| Had sexual intercourse for the first time before age 13 years  | 7.5%   | 5.9%                  | (5.9–9.5)              | 2,134 |
| Had sexual intercourse with four or more persons during their life   | 16.6%  | 13.8%                 | (13.9–19.7)            | 2,128 |
| Had sexual intercourse with at least one person during 3 months prior to survey                                      | 38.8%  | 34.2%                 | (35.2–42.5)            | 2,128 |
| Drank alcohol or used drugs before last<br>sexual intercourse (among students who<br>were currently sexually active) | 18.2 % | 21.6%                 | (15.5–21.3)            | 779   |
| Did not use a condom during last sexual<br>intercourse (among students who were<br>currently sexually active)        | 40.5 % | 38.9%                 | (36.1–45.1)            | 771   |
| Did not use birth control pills before last<br>sexual intercourse*   | 83.4%  | 80.2%                 | (80.0–86.2)            | 746   |

# INDIVIDUALS ATTITUDES DIFFER FROM COMMUNITY/SCHOOL NORMS

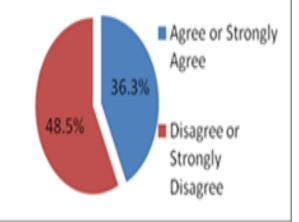
**Comprehensive~??** 

#### Abstinence Only~??

"I personally beleive that a combined abstinence and safersex approach is most effective in reducing teen pregnancy rates"



"I believe that my community would accept/support a combined absintence and safer-sex curriculum in school and community settings.



ANTICIPATED LACK OF ACCEPTANCE OF A COMPREHENSIVE TEEN PREGNANCY PREVENTION CURRICULUM IS ACCURATE!

#### Schools

Superintendents, principals, teachers recognize that a comprehensive curriculum has the greatest efficacy. <u>But</u> warn that parents will not accept a comprehensive discussion of sexuality at school.

#### Parents



# THE NEEDS ASSESSMENT SAVED TIME, MONEY, & RESOURCES

- Detailed knowledge about each county served and prevalence of problem
- Scope and Curriculum Change Request To OAH prior to implementation
- Lower Training Costs
- Easier access to students & teachers
- Very high rate of parents providing informed consent
- Implementation smooth & target numbers reached
- Students reporting high levels of knowledge gain and attitude change



DEVELOPING PARTNERSHIP APPROACHES TO CONDUCTING A COMMUNITY NEEDS ASSESSMENT FOR SUBSTANCE ABUSE PROGRAMS

Dr. Charles Brown Senior Program Evaluator Centerstone Research Institute Charles.Brown@Centerstone.org

# FUNDING FOR SUBSTANCE ABUSE PREVENTION PROGRAM

## <u>Award</u> <u>Information:</u>

- Federal Grant: HHS/ SAMHSA (October 2010)
- Total Funding: \$1.5 million for Program & Evaluation Services
- Length of Project: 5 years

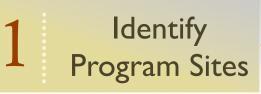
Required Activities:

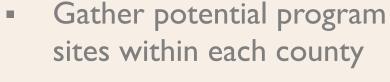
- Within first 30 days
   > Hire Staff

   (3) Evaluation Staff
- Within first 90 days
  - Complete and
     Submit Community
     Needs Assessment
     Report

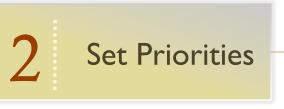
#### REASONS FOR CONDUCTING NEEDS ASSESSMENT







 Assess readiness to provide services



Determine Assets/ Barriers

- Collaborate with stakeholders to determine which needs to address
- Document specific factors related to at-risk young adults in target communities

#### Context:



## Target Areas:

- State Universities
- Public Housing for Low-Income Adults
- Labor and Workforce Development Agencies
- Local Recreation Facilities

## Stakeholders:

- College Officials
- HIV Medical Providers
- Community Leaders
- Faith-Based Clergy Members
- Representatives from Local &
  - State Government Departments



#### NUTS AND BOLTS OF THE NEEDS ASSESSMENT

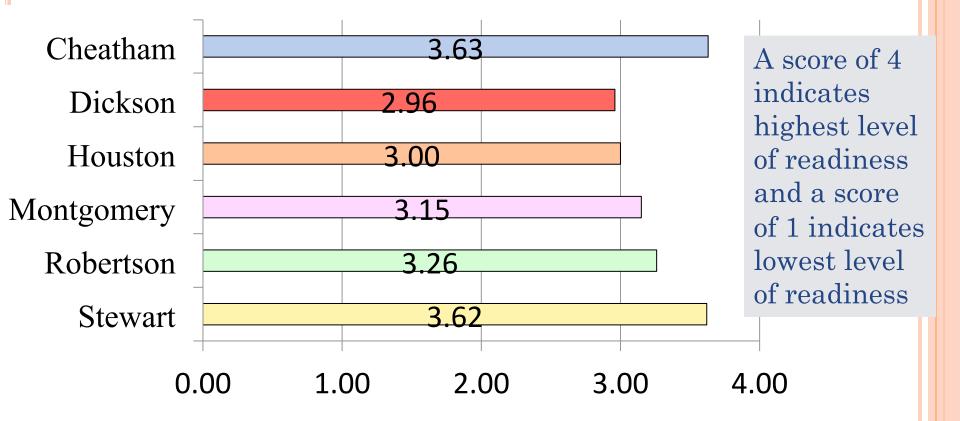
Methodology:



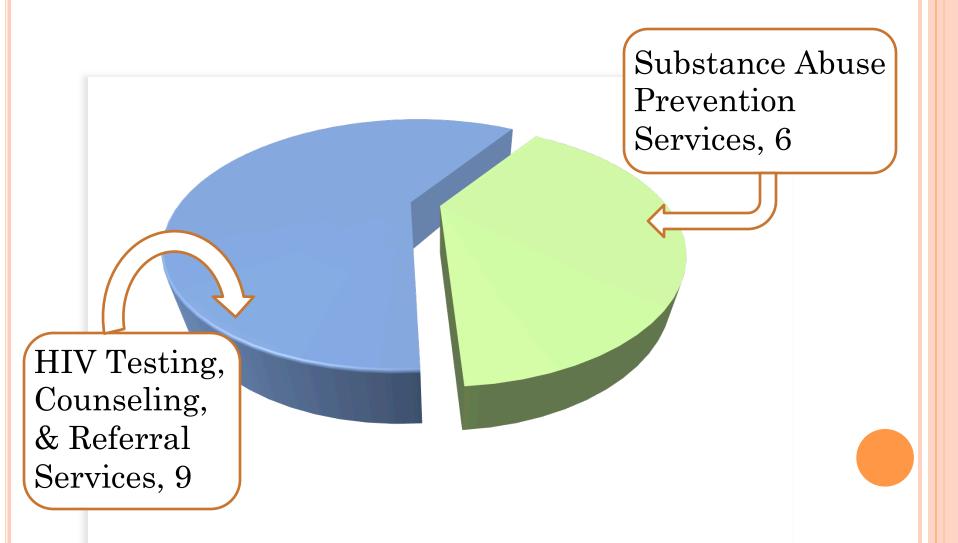
- Survey
- •Qualitative Interviews
- •Existing Data

- Readiness Assessment Tool
- Key Informant Guided Interview

## COMMUNITY READINESS SURVEY FINDINGS

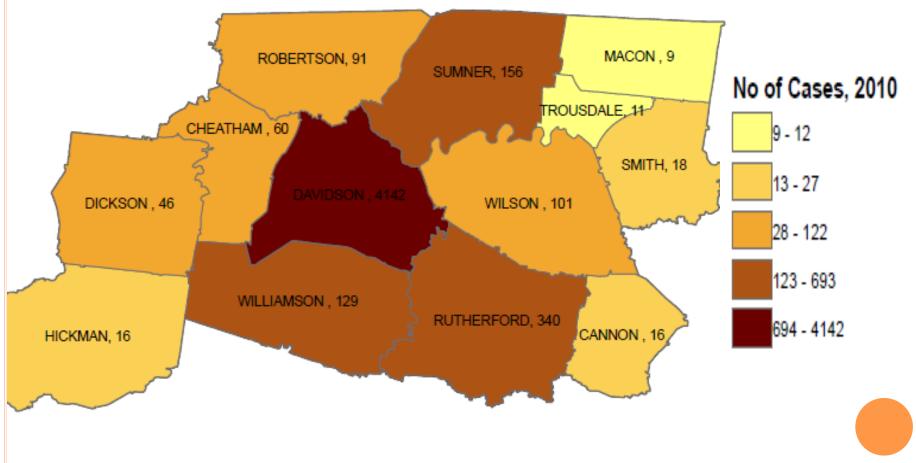


## AVAILABILTY OF SERVICES IN TARGET COMMUNITIES



## COUNTY PROFILE

#### HIV Disease Prevalence, by County 2010



Total Cases = 5135

## COUNTY PROFILE

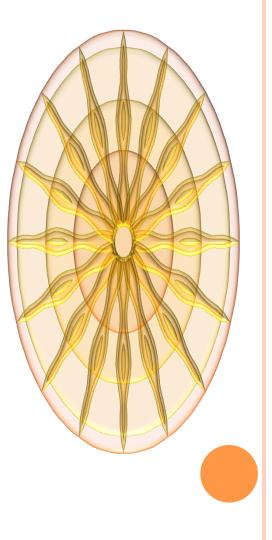
#### **DUI Arrests in 6 County Area**

| Total Population (2007-2008) |                     |                     |  |  |
|------------------------------|---------------------|---------------------|--|--|
| COUNTY                       | DUI Arrests<br>2007 | DUI Arrests<br>2008 |  |  |
| СНЕАТНАМ                     | 20                  | 25                  |  |  |
| DICKSON                      | 40                  | 40                  |  |  |
| HOUSTON                      | 5                   | 10                  |  |  |
| MONTGOMERY                   | 157                 | 73                  |  |  |
| ROBERTSON                    | 45                  | 65                  |  |  |
| STEWART                      | 9                   | 14                  |  |  |

#### IMPLICATION FOR PROGRAM IMPLEMENTATION

### <u>Community Readiness</u>

- Perceived opinions and attitudes of stakeholders guided service delivery in each county and implementation site
- Group Decision Making Contributed to Specifically Tailored Services
  - Frequency of program sessions offered
  - Amount of time to devote to program sessions
  - Expectations for participants attending the program



EARLY CONNECTION NETWORK: ASSESSING NEEDS, STRENGTHS, AND POSSIBILITIES FOR AN EARLY CHILDHOOD SYSTEM OF CARE

Dr. Sarah Suiter Assistant Professor of Human & Organizational Development Vanderbilt University Sarah.V.Suiter@Vanderbilt.edu

## Systems of Care Nationally

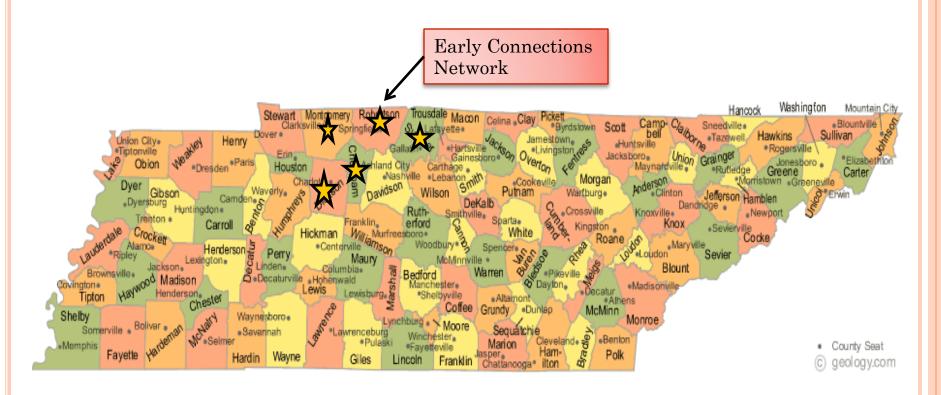
- The Comprehensive Community Services for Children and their Families Initiative
  - 1993-2010
  - 173 communities
  - \$1.49 billion
- System of Care Values
  - Family-driven
  - Youth-guided
  - Cultural and linguistic competence
  - Individualized and community based
  - Evidence based

#### TDMHSAS OFFICE OF CHILDREN & YOUTH ON SYSTEMS OF CARE

"Systems of care is not a program — it is a philosophy of how care should be delivered. Systems of Care is an approach to services that recognizes the importance of family, school and community, and seeks to promote the full potential of every child and youth by addressing their physical, emotional, intellectual, cultural and social needs."

(http://www.tn.gov/mental/mentalhealthservices/ sp\_child\_sysCare.html)

#### TENNESSEE SYSTEMS OF CARE



# FACTORS INFLUENCING NEEDS ASSESSMENT PLANNING AND DESIGN

#### • Contextual factors:

- Geographic area
- County identities
- Openness and willingness to share & participate

#### • Project-based factors:

- 1 year for planning and implementation
- 2 full-time staff designated for project
- Required participatory approach
- Need for relationships as well as information

## DATA COLLECTION METHODS

• Existing Reports & Studies
• Resource Mapping
• Focus Groups
• Concept Mapping



# EXISTING DATA SOURCES

County Health Rankings: <u>http://www.countyhealthrankings.org</u>

National Center for Children in Poverty <u>http://www.nccp.org</u>

Kids Count http://www.kidscount.org

U.S. Census http://www.census.gov

TN Department of Health, Division of Health Statistics <u>http://health.state.tn.us/data.htm</u>

Child Trends Databank http://www.childtrendsdatabank.org/

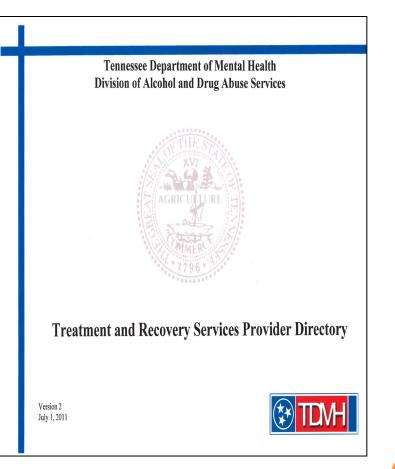
#### FACTS ABOUT THE 5 COUNTIES...

- Percentage of youth committed to State custody in Dickson County is almost twice that of the State-wide average percentage
- Percentage of substantiated cases of child abuse and neglect in Montgomery County is almost twice that of the State-wide average percentage
- 1 out of every 5 adults in Robertson & Sumner Counties report that they receive inadequate social and emotional support
- Unemployment in the 5 county area ranges from 8.5%-11.5%

## **RESOURCE MAPPING PROCESS**

#### **Data Sources**

- Existing Resource Directories
- RA's knowledge of the counties
- On-line searches
- Phone book
- Word of mouth



#### **Resource Mapping Process**

#### • Categories

- Child Services
- Clothing
- Child Care
- Educational
- Employment
- Financial
- Food

- Housing/Shelter
- Legal
- Medical
- Mental Health
- Parenting
- Substance Abuse

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| Adult Education Services   | 430 Greenwood Ave                                   | Clarksville | TN           | 37040 (931) 542-5040                       |                                   | Adult high school, adult basic education, GED, preparation literacy,<br>English as a second language, sign-language, vocational classes,  | iery         |
| CLARKSVILLE AREA<br>CHRISTIAN HOME SCHOO<br>SUPPORT GROUP                              | L   | Clarksville | TN           | 37040 931-647-7889                         | Lisa Wise                         | This is a support group for families who either are interested in or<br>actively pursuing educating their children at home. For information<br>about support group meetings or other activities - or for information<br>about the Tennessee law pertaining to home schooling, enrolling with  | iery         |
| DEAF MINISTRY  | Hilldale Baptist Church                             | Clarksville | TN           | 37040 931-648-8031                         | Director - Rose<br>Watrous        | Hours - Sunday School Class and church services. Services Provided<br>- Special Sunday School Class for the deaf. Sign language interpreter Montgon<br>for church services. Open to the whole deaf community (not just  | iery         |
| FOUR-H YOUTH<br>PROGRAMS   | 1030A Cumberland<br>Heights Rd                      | Clarksville | TN           | 37043 931-648-5725                         | Martin Koon                       | Fees (if any) • None. Services Provided • The 4-H program provides<br>educational experiences that will stimulate young people to gain<br>knowledge, develop skills and form positive attitudes to prepare<br>them to become capable, responsible and compassionate adults. 4-H<br>members learn by doing when they participate in any of the offered<br>educational activities. The emphasis of 4-H is public speaking,<br>workforce development, leadership, citizenship, service learning, and<br>life skills. Youth may participate in 4-H through school clubs, after-<br>school clubs, community clubs, school enrichment programs, special | hery         |
| HEAD START<br>(COMMUNITY ACTION<br>AGENCY)   | 350 Pageant Ln., Suite 307                          | Clarksville | TN           | 37040 931-648-5774                         | Director - Felecia<br>Bagwell     | Fees (if any) • free to enrolled. Services Provided • Comprehensive<br>child development program funded to serve 216 children from low-<br>income families. Children must be 3 or 4 years old on or before Sept. Montgon<br>30; 10% enrollment opportunities for children with disabilities.<br>Preschool curriculum designed to enhance social, mental, and  | iery         |
| Clarksville Office - Tutoring  | 220 Forbes Ave, Ste A                               | Clarksville | TN           | 37040 (931) 647-5811                       | 1                                 | Programs that provide supplemental instruction for students who are<br>having difficulty with their coursework or who want to get more out Montgon<br>of their regular educational program. General Information. Program  | iery         |
| Clarksville-Montgomery<br>County Crisis Intervention<br>Center - School Safety Hotline | PO BOX 212  | Clarksville | TN           | 37040 (931) 648-1000                       | )                                 | Provides an opportunity for any child, parent or concerned neighbor<br>to call about any criminal activity or other concerns that may affect<br>the quality of education. It is available 24 hours a day to anyone<br>wishing to report gang, or drug activity, weapons, physical abuse.  | ıery         |
| Apsu Child Learning Center   | 637 8th Street                                      | Clarksville | TN           | 37040 (931)645-6296                        |                                   | Montgon   |              |
| Summit Heights Learning Cent<br>Dickson County Board of                                | er 20J Summit Heights<br>817 North Charlotte Street | Clarksville | TN           | 37040 (931)645-2845<br>37055 (615)446-2114 |                                   | Montgon<br>Dickson  | iery         |

## Resource Mapping

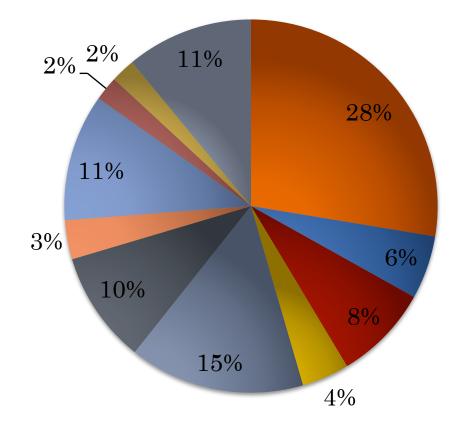
|                 | Cheatham | Dickson | Montgomery | Robertson | Sumner |
|-----------------|----------|---------|------------|-----------|--------|
| Child Services  | 2        | 2       | 4          | 2         | 7      |
| Clothing        | 0        | 6       | 7          | 1         | 7      |
| Child Care      | 28       | 31      | 41         | 47        | 26     |
| Educational     | 2        | 7       | 10         | 0         | 17     |
| Employment      | 0        | 4       | 6          | 1         | 5      |
| Financial       | 3        | 2       | 2          | 0         | 7      |
| Food            | 5        | 5       | 7          | 1         | 8      |
| Housing/Shelter | 0        | 2       | 12         | 1         | 7      |
| Legal           | 0        | 3       | 3          | 0         | 10     |
| Medical         | 9        | 18      | 33         | 31        | 26     |
| Mental Health   | 5        | 9       | 26         | 5         | 36     |
| Parenting       | 0        | 4       | 10         | 0         | 9      |
| Substance Abuse | 1        | 2       | 8          | 0         | 1      |

#### FOCUS GROUP PROCESS

• Worked with Staff & Parent stakeholders

- Reviewed & edited questions
- Reviewed & edited posters & consent forms
- Developed sampling strategy
- Sampling strategy
  - DCS Community Advisory Boards
  - County Health Councils
  - Early Childhood Education & Child Care
  - Parents & Caregivers
- Community leaders assisted with focus group invitations & logistics
- Total of 21 focus groups, 130 participants

## FOCUS GROUP PARTICIPANTS BY TYPE



Caregivers

Child Care Centers

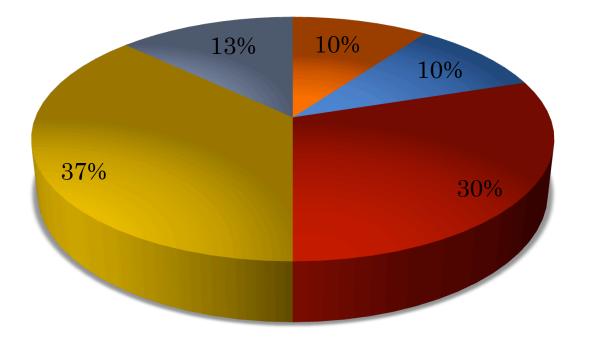
Mental Health Providers

Health Care Providers

Head Start

Schools

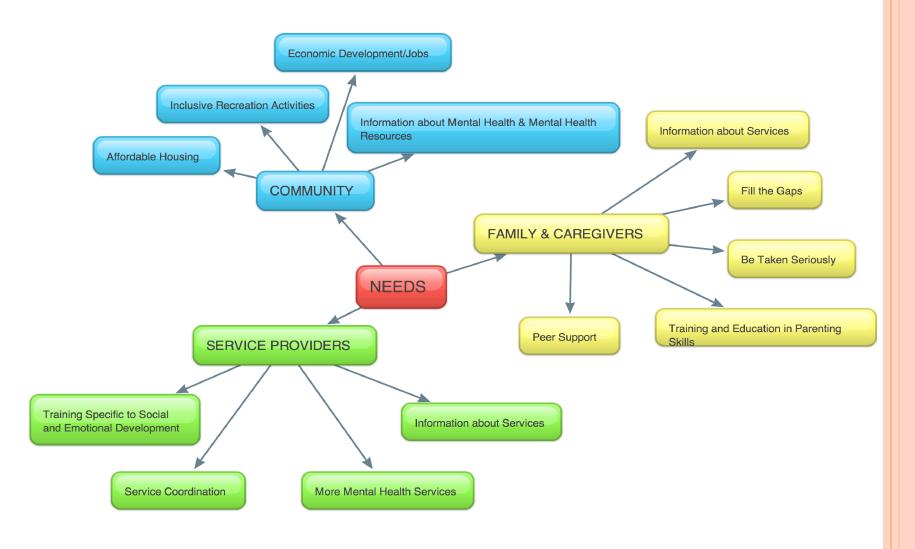
## Focus Group Participants by County



Cheatham
Dickson
Montgomery
Robertson
Sumner

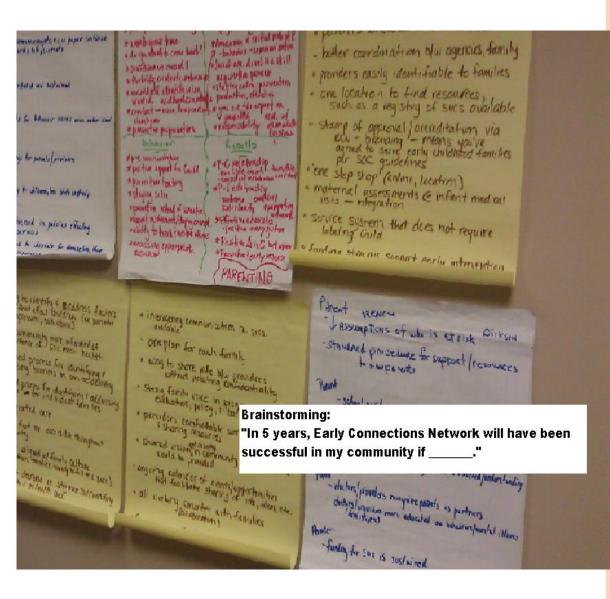
#### WHEN IT COMES TO SUPPORTING HEALTHY EARLY CHILDHOOD DEVELOPMENT IN YOUR COUNTY...

- What are some of the biggest strengths?
  - Of young children?
  - Of their families?
  - Of your community as a whole?
- What are some of the biggest needs?
  - Of young children?
  - Of their families?
  - Of your community as a whole?
- Do service organizations in your county work together?
  - If so, in what ways? By sharing information? Resources? Referrals? If not, why not?
- How do resources flow in your community? How about knowledge?
- If there was one thing that you could change that you think would improve the well-being of children in your county, what would it be?

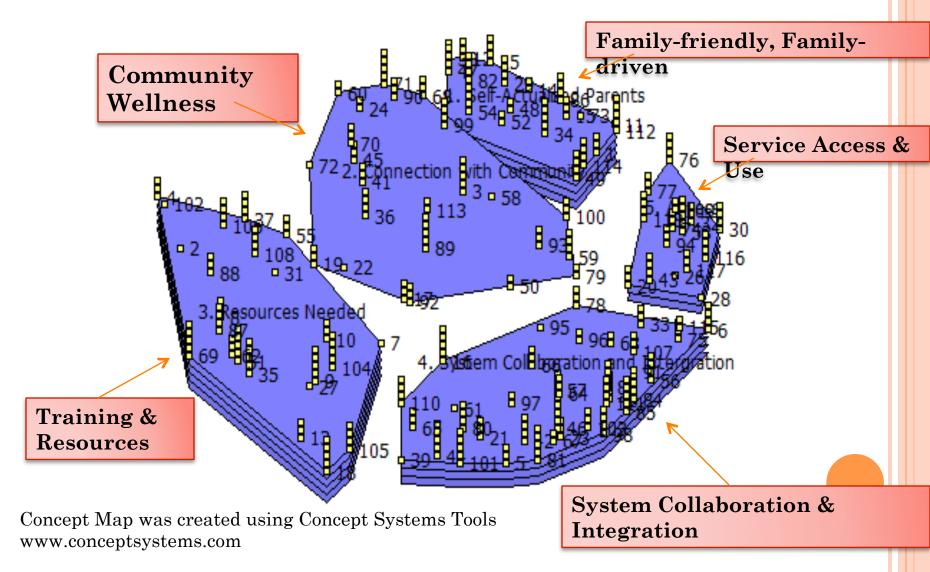


## CONCEPT MAPPING

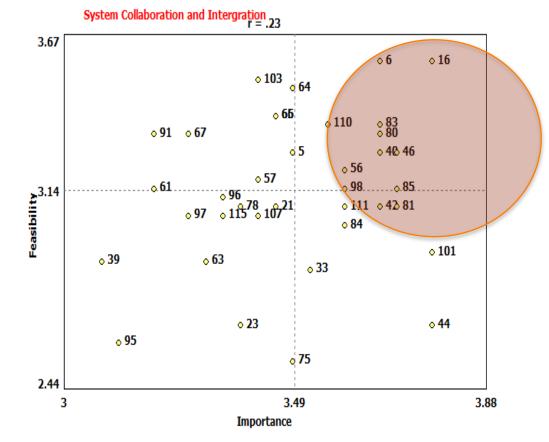
- Brainstorming
- Sorting
- Rating
  - Feasibility
  - Importance



## ECN CONCEPT MAP



#### SYSTEM COLLABORATION & INTEGRATION



"Go Zones" was created using Concept Systems Tools www.conceptsystems.com

# SYSTEM COLLABORATION & INTEGRATION

16: Service providers know what resources are currently available

6: Correct and current information about each service agency is available

83: There is a flow chart for each county that shows what to do and where to go when a child is having problems

80: Tracking and follow-up of children and families receiving services is improved

64: ECN has a presence in the health department

ig for System of Care is sustained cated on behaviors/mental illness is and make appropriate referrals. than expulsion or disenrollment) g on effective behavior strategies g on-going training to their staff. opportunities are well-marketed ; of behavior/mental illness needs ment for early childhood workers daycare/early childhood settings All pediatricians implement EPSDT ig for parents and child care staff en care and individualized services schools are more ready for them. are resources for Hispanic families em is seeing fewer behavior issues rention without labeling the child tance of early brain development. out other services in their county. People use Title I resources e literacy level of Hispanic families ut other issues - such as literacy).

are resources for Hispanic families om is seeing fewer behavior issues vention without labeling the child tance of early brain development. out other services in their county. People use Title I resources e literacy level of Hispanic families ut other issues - such as literacy). Ibout who is at risk and who isn't 2.67

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3.89

Funding for System of Care is sust Doctors/providers are more educa Supervisors within service providi Pediatricians are trained to identif Childcare centers are engaged in it School administration, teachers, a There is more professional develop Kids are more ready to enter scho Caregivers can access high-quality There is a funding structure that : Fewer children are expelled from d Training opportunities are well-ma All pediatricians implement EPSDT Everyone (parents and profession There is school readiness training There are resources for Hispanic fa There are funding streams that su The school system is seeing fewer Service providers are mandated to There are fewer assumptions about People use Title I resources People are more familiar with diffe There is a program to raise literacy 3.05

"Pattern Match" was created using Concept Systems Tools www.conceptsystems.com

## IMPLICATIONS FOR PROGRAM PLANNING

• Created shared understanding

- Language & terms
- Key issues and strengths
- Challenged previous assumptions
  - County culture
  - Parent/professional divide
- Built relationships
  - Identified "movers and shakers" in the communities
  - Developed trust among staff, families & service providers

## CONCLUSION

## FACTORS THAT DICTATE NEEDS ASSESSMENT DESIGN

#### • Time & Resources

- what is the most important knowledge to garner?
- what is the best way to access that knowledge?
- what knowledge can help me expand my resources?
- Requirements of the Project
  - "We now use the country itself, as its own map, and I assure you it does nearly as well." (Carroll, 1893)

#### • Stakeholders and Context

- Users and implementers
- Resources/limitations and hospitality

#### NEEDS ASSESSMENTS...

- Should be done whenever possible
- Test assumptions of program planners and evaluators
- Guide the investiment of resources
- Can be accomplished through a variety of methods:
  - Existing data
  - Surveys
  - Focus groups
  - Key informant interviews



